## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTIORNEY'S DOC	JKEI NUMBER	
SSM-566US		
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(Itaknown, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2004/014553 21.December.2004 (21.12.2004) 02.February.2004 (02.02.2004) TITLE OF INVENTION SHRINK DISC UNIT AND TOOL FOR ASSEMBLY THEREOF APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). **[√**] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). **✓** An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 1 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). items 11 to 20 below concern document(s) or information included: 1 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Unexecuted Dec/Poa (2 pgs), Express Mail Cert, 2038, Return Receipt Postcard 20.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT. Commissioner for Patents P.O. Boy 1450 Alexandria VA 22313-1450

Approved for use unrough 3/3/1/2007, Olyip 000 1-002 i U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 SFR 1.5) INTERNATIONAL APPLICATION NO. **SSM-566US** PCT/EP2004/014553 CALCULATIONS PTO USE ONLY The following fees have been submitted Basic national fee (37 CFR 1.492(a))......\$300 \$300 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 \$200 Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... International Search Report prepared by an ISA other than the US, and provided to the Office or \$ 400 previously communicated to the US by the IB All other situations......\$500 \$ 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) - 100 = /50 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE 54 s 1,700 34 x \$50 - 20 = Total claims Independent claims 2 - 3 = 0 х \$200 \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ 2,600 **TOTAL OF ABOVE CALCULATIONS =** Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. **\$ 1,300** Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 1,300 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 1,300 Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of \$ \_ \_\_ to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. <u>18-0350</u>. A duplicate copy of this sheet is enclosed. a. 🛂 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Customer Number 23122 SIGNATURE OR Glenn M. Massina Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482 NAME RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899

40,081

REGISTRATION NUMBER

August 2, 2006

Date:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1 Applicant(s): Wilhelm Landwehr **SSM-566US** Serial No. Filing Date Examiner Group Art Unit Herewith To Be Assigned Invention: SHRINK DISC UNIT AND TOOL FOR ASSEMBLY THEREOF I hereby certify that the following correspondence: U.S. National Phase Application and its enclosures (Identify type of correspondence) Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 2, 2006 **Dennis McDermott** (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV 766498466 US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.